## Chapters 1 & 3

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## Outline

- Introduction: survival analysis censoring and truncation
- Examples
- Read Chapter 1, §3.1-3.4 (Type II censoring is not required).

#### Introduction

- Survival analysis: stat analysis of survival/failure/event times
- Outcome of interest: survival/failure/event *time*; can be any event of interest, e.g., death, development or relapse of a disease, quitting smoking,...
- Feature: incomplete obs's
- 1) Censoring

X: event time of interest, e.g. death

C: censoring time; e.g., end of study, loss to follow-up

- i) right censoring: only know  $X_i > C_i$  with observed  $C_i$ . Data:  $(T_i, \delta_i), i = 1, ..., n$  $T_i = min(X_i, C_i), \delta_i = I(X_i \leq C_i)$
- ii) left censoring: only know  $X_i < C_i$  with observed  $C_i$ . Data:

- iii) right- & left-censoring = "double" censoring (in AIDS studies, there is another use of "double censoring".)
- iv) interval censoring: only know  $X_i \in [L_i, R_i]$  with  $L_i$  and  $R_i$  observed.

$$L_i < \infty, R_i = \infty \Longrightarrow \text{right-censoring.}$$
  
 $L_i = 0, R_i < \infty \Longrightarrow \text{left-censoring.}$ 

- 2) Truncation
- i) left truncation: observe  $Y_{L_i}$ ; only observe (exact or censored)  $X_i$  iff  $X_i > Y_{L_i}$

Left-truncated data: 
$$(Y_{L_i}, X_i)$$
,  $i = 1, ..., n$   
L-T + R-C data:  $(Y_{L_i}, T_i, \delta_i)$ ,  $i = 1, ..., n$ 

• ii) right truncation: observe  $Y_{R_i}$ ; only observe (exact or censored)  $X_i$  iff  $X_i < Y_{R_i}$ 

#### Examples

• §1.2. Remission duration from a clinical trial for acute leukemia Table 1.1:

trt (6-MP): 6, 6, 6, 6+, 7, 9+, 10, 10+,... (in months)

placebo: 1, 1, 2, 2, 3,...

Event: relapse; censoring: end of study

Interpretation:

6: replased at month 6;

6+: leukemia not returned yet at month 6 (at the end of the study).

- §1.17. Time to the first use of marijuana 191 high school boys were asked: "When did you first use marijuana?"; an answer could be one of
  - 1) exact age at the time,  $X_i$ ;
  - 2) never used;

 $C_i$ : current age; only know  $X_i > C_i$ ; right-censoring;

3) used but did not remember when;

 $C_i$ : current age; only know  $X_i < C_i$ ; left-censoring.

• §1.16. Death times of elderly residents of a retirement community

Channing House Data: 1964-1975; followed 462 seniors who lived in a retirement center.

Event of interest: death time

Censoring: end of study, loss to follow-up (moving out the center)

Left-truncation:  $Y_{L_i}$  is subject *i*'s age at the beginning of the study; subject *i* was in the study iff  $X_i > Y_{L_i}$ .

• §1.18. Time to cosmetic deterioration of breast cancer patients Goal: to compare cosmetic effects of two groups: radiotherapy alone vs radiotherapy plus chemotherapy

Eevent of interest: first appearance of moderate or severe

breast retraction

Study design: observed initially every 4-6 months; the interval length b/w visits gradually increased  $\Longrightarrow$  ...

- Three possibilities:
- 1) already happened at the first visit–left-censoring;
- 2) between two visits–interval-censoring;
- 3) not yet at the last visit-right-censoring.

Data:

(radiotherapy only): (0,7],...,(4, 11], (6, 10],..., 32+, 46+ (inmonths)

• §1.19. Time to AIDS (right truncation) Sampling: restrospective – all subjects had developed AIDS by June 30, 1986.

-----| \\ AIDS June 30, 1986 HIV+

HIV: Human Immunedeficiency Virus

AIDS: Acquired Immune Deficiency Syndrom

In 80's, a pressing public health question was important to predict the prevalence of the epidemic  $\Longrightarrow$  predict *incubation* period. (e.g. by a random sampling  $\Longrightarrow$  Pr(HIV+).)

Event time: X=(AIDS time) - (HIV+ time), incubation time For the data, the cohort were infected by a contaminated blood transfusion, hence (HIV+ time) was known.

 $Y_R = (\text{June } 30, 86) - (\text{HIV} + \text{time})$ 

Observed  $X_i$  iff  $X_i \leq Y_{R_i}$  (why?)